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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/832,410	04/10/2001	Timothy Schmidl	TI-30895	9531
23494	7590	07/12/2005	EXAMINER	
TEXAS INSTRUMENTS INCORPORATED P O BOX 655474, M/S 3999 DALLAS, TX 75265			WANG, TED M	
			ART UNIT	PAPER NUMBER
			2634	

DATE MAILED: 07/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/832,410

Applicant(s)

SCHMIDL ET AL.

Examiner

Ted M. Wang

Art Unit

2634

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 April 2005.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 5 and 6 is/are allowed.
- 6) ☒ Claim(s) 1-3 is/are rejected.
- 7) ☒ Claim(s) 4 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04/10/2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: _____

DETAILED ACTION

Response to Arguments

1. Applicant's arguments, filed on 04/06/2005, have been fully considered but they are not persuasive. The Examiner has thoroughly reviewed Applicants' arguments but firmly believes that the cited reference to reasonably and properly meet the claimed limitations.

Independent Claims 1-3

(1) *Applicants' argument* – "Harrison has only a single antenna for the receiver, see column 5, lines 1-12. In contrast, claim 1 requires more than one antenna for the receiver." as recited from applicant's arguments.

Examiner's response – In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "Harrison has only a single antenna for the receiver, see column 5, lines 1-12. In contrast, claim 1 requires more than one antenna for the receiver.") are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

Art Unit: 2634

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Harrison (US 6,067,324).

- In regard claim 1, Harrison discloses a method for transmitting and demodulating a communications signal using an adaptive antenna array in a wireless communication system with

(a) estimating at least one eigenvector of a matrix of communication channel coefficients for a channel between a first plurality of antennas and a second plurality of antennas (Fig.1 elements 100-122, column 2 line 41 – column 4 line 67, Fig.2 elements 201-216, and column 5 lines 1-63); and

(b) transmitting using said first plurality of antennas with the relative weightings of baseband signals on said first plurality of antennas corresponding to components of said at least one eigenvector (column 3 line 11 – column 4 line 67).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 2 and 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harrison (US 6,067,324) in view of Gerlach et al. (US 5,471,647).

- In regard claim 2, Harrison discloses all of the limitation as described in the above paragraph except specifically teaching that (a) said communication channel has MN coefficients, α_{ij} for $i = 1, \dots, M$ and $j = 1, \dots, N$ where M and N are positive integers, and α_{ij} relates to transmission from the ith antenna of a transmitter to the jth antenna of a receiver, and said matrix is CC^H where C is the M x N matrix with ith row and jth column entry α_{ij} and H is Hermitian conjugate. Gerlach et al. discloses a method for minimizing cross-talk in adaptive transmission antennas that said communication channel has MN coefficients, α_{ij} for $i = 1, \dots, M$ and $j = 1, \dots, N$ where M and N are positive integers (Fig.1 elements 15(1...n, 1)-15(1...n, m), Fig.3a and 3b elements 13(1...n, 1)-13(1...n, m), column 2 line 59 –column 3 line16, column 3 lines 39-63), and α_{ij} relates to transmission from the ith antenna of a transmitter to the jth antenna of a receiver (column 3 line 64 – column 4 line 54), and said matrix is CC^H where C is the M x N matrix with ith row and jth column entry α_{ij} and H is Hermitian conjugate (column 4 line 1 – column 5 line 41) in order to improve the receiving quality by reducing cross-talk.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Harrison's wireless communication system in view of Gerlach's disclosure by adapting feedback signals (32) to optimize the directional properties of information signals (19) with respect to their

intended receivers (16), where information signals (19) are applied to the transmitting elements (14) of a transmitting antenna array (12) in order to improve the receiving quality by reducing cross-talk.

- In regard claim 3, the limitation of (a) said signals on said antennas are a superposition of first signals weighted according to a first eigenvector of CC^H plus second signals weighted according to a second eigenvector of CC^H wherein the superposition depends upon first and second eigenvalues of CC^H can further be taught by Gerlach et al. in Fig. Fig. 3a and 3b elements 16(1)-16(m), 32(1)-32(m), 40, 13(1...n,1)-13(1...n,m), 17(1)-17(m), and 19(1)-19(m), and column 3 line 39 – column 5 line 41).

Allowable Subject Matter

- 6. Claims 5 and 6 are allowed.
- 7. Claims 4 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

- 8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
- 9. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

Art Unit: 2634

shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ted M. Wang whose telephone number is 571-272-3053. The examiner can normally be reached on M-F, 7:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Chin can be reached on 571-272-3056. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Ted M Wang
Examiner
Art Unit 2634

Ted M. Wang



SHUWANG LIU
PRIMARY EXAMINER